



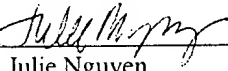
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PATENT

Docket No.: 1014/202

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this paper or fee is being deposited with the United States Postal Service as "FIRST CLASS MAIL" in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on December 26, 2001.


Julie Nguyen

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Maso, et al.

Serial No.: 09/964,265

Filing Date: September 25, 2001

Title: APPLICATION MANAGER FOR
MONITORING AND RECOVERY OF
SOFTWARE BASED APPLICATION
PROCESSES

Examiner: Not Yet Assigned

Group Art Unit: 2151

TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In complete response to the Notice to File Missing Parts of Application Under 37 C.F.R. § 1.53(c) dated October 26, 2001, attached please find:

- ☒ Signed Combined Declaration and Power of Attorney;
- ☒ Assignment Papers (cover sheet & document);
- ☒ Copy of Missing Parts Notice;
- ☒ Return Postcard; and

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- ☒ Return Postcard; and
☒ Check no. 1007 (\$520.00) for:

Basic Filing Fee (\$370.00)
Extra Claims Fee (\$45.00)
Late Filing Fee (\$65.00)
Assignment Recording Fee (\$40.00)

The Assistant Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§ 1.16 and 1.17 that may be required by this transmittal and associated documents, or to credit any overpayment to **Deposit Account No. 501288**. A duplicate copy of this transmittal is enclosed for that purpose.

Respectfully submitted,

Dated: December 26, 2001

By:



Wen Liu
Registration No. (32,822)

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COMMISSIONER FOR PATENTS
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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/964,265	09/25/2001	Brian Maso	1014/202

CONFIRMATION NO. 9942

FORMALITIES LETTER



OC000000006979630

LIU & LIU LLP
811 West 7th Street, Suite 1100
Los Angeles, CA 90017

Date Mailed: 10/26/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. *45.00*
Applicant must submit ~~\$355~~ to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$45.
 - \$45 for 5 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is ~~\$465~~. *145*

A copy of this notice MUST be returned with the reply.

11-7
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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